

Supplemental Statement

**Pursuant to the Foreign Agents Registration Act of
1938, as amended**

For Six Month Period Ending 09/30/2013

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

(b) Registration No.

Saint Lucia Tourist Board

2668

(c) Business Address(es) of Registrant

800 Second Avenue

5th Floor

New York, NY 10017

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

(1) Residence address(es) Yes ☐ No ☐

(2) Citizenship Yes ☐ No ☐

(3) Occupation Yes ☐ No ☐

(b) If an organization:

(1) Name Yes ☐ No ☒

(2) Ownership or control Yes ☐ No ☒

(3) Branch offices Yes ☐ No ☒

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

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IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.

Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☒

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☐

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☒ No ☐

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

Saint Lucia Tourist Board
Castries
Saint Lucia

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☐ No ☐

Exhibit B⁴ Yes ☐ No ☐

If no, please attach the required exhibit.

N/A

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☐

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157) sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

May	Day Trip & Destination Travel show	Consumer Show	Cromwell, CT
June	Caribbean American Celebration	Consumer Show	Brooklyn, NY
	Caribbean Marketplace	Consumer Show	New York, NY
	Taste of Caribbean - MTA	Consumer Show	New York, NY
July	United Airlines Inaugural	Consumer Promotion	Newark, NJ\
August	Taste of The Caribbean	Consumer Promotion	Hartford, CT
	Labor Day Launch	Trade/Consumer	Brooklyn, NY
September	Grand Fam/Wedding Symposium	Trade/Consumer	Saint Lucia, WI

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☐ No ☒

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ The term "political activity" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
4/22	Saint Lucia Tourist Bd	Administration	\$ 14,981.20
5/24	Saint Lucia Tourist Bd	Administration	10,965.00
6/18	Lorine St. Jules	Consumer Promotion	1,070.00
6/28	Saint Lucia Tourist Bd	Administration	9,981.20
7/09	Lorine St. Jules	Consumer Promotion	1,200.00
7/16	Lorine St. Jules	Consumer Promotion	280.00
7/25	Saint Lucia Tourist Bd	Administration	11,965.00
			Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☒ No ☐

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
Saint Lucia Tourist Board	March 01 - September 30, 2013	Brochures and Pamphlets	Travel Trade, Consumer Shows, Prospective Visitors, Travel Agents requests

^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule.201(e)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☐

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
March 01 - September 30, 2013			
Office Expense	United Parcel Service	Courier Service	\$ 4,792.66
	Mail Finance	Postage Equipment	2,606.52
	AETNA	Medical Insurance	32,497.80
	AVAYA Financial Services	Phone Equipment	4,775.34
	GAM Inventory Mgmt.	Storage	4,042.38
	Staples	Printing & Stationery	2,400.00
	AOL	Telecommunication	173.94
	Verizon	Telecommunication	4,459.60
	Saint Lucia Mission	Rent	4,900.00
	Replacement 1 Key	Maintenance	6.74
	FTD.com	Flowers	109.11
	Skype	Telecommunication	41.17
	National Courier	Courier Service	326.33
	Bank of America	Bank Charges	719.66
	D. Hauser	Broker	581.24
	FARA	Office Expense	305.00
	Symphony Cleaners	Office Expense	30.00
	Neopost	Postage Stamp	1,000.00
	Alternative Graphic	Printing	8,450.00
	Sony Style Direct	Computers	3,944.45
	Odile Devaux	Office Expense	262.43
Marketing	American Airlines	Familiarization Trip	42,804.20
	Delta	Familiarization Trip	1,861.74
	JetBlue	Familiarization Trip	5,617.40
	Bath and Body Works	Promotional Items	204.90
	Towels Outlet	Promotional Items	468.56
	Amereens Hallmark	Promotional Items	40.80
	4Imprint	Promotional Item	220.33
	Walmart	Promotional Items	33.01
	Chef Shorne	Consumer Promotion	2,000.00

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☒ No ☐

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
March 01 - September 30, 2013	Travel Trade Potential Visitor	Saint Lucia Tourist Board	Brochures, Pamphlets	Promotion

(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²

Yes ☒ No ☐

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?

Yes ☐ No ☐

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

Saint Lucia Tourist Board

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☒ Radio or TV broadcasts ☒ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams
☒ Advertising campaigns ☒ Press releases ☒ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (specify) _____

Electronic Communications

- ☒ Email
☒ Website URL(s): stlucia.org / stlucianow.com
☒ Social media websites URL(s): facebook.com/Saint Lucia Tourist Board; twitter.com/saintlucianow;
☐ Other (specify) youtube.com/user/SaintLuciaIsland

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☒ Other (specify) Travel Trade and Consumer Shows

21. What language was used in the informational materials:

- ☒ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☐ No ☒

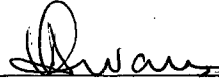
¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

March 24, 2014

(Print or type name under each signature or provide electronic signature¹³)ODILE DEVAUX

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

IV – FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

Date	From Whom	Purpose	Amount
8/02	Travel Agents	Familiarization Trip	5,584.00
8/02	Travel Agents	Familiarization Trip	5,235.00
8/02	Travel Agents	Familiarization Trip	4,537.00
8/02	Travel Agents	Familiarization Trip	4,188.00
8/08	Travel Agents	Familiarization Trip	6,282.00
8/08	Travel Agents	Familiarization Trip	5,584.00
8/08	Travel Agents	Familiarization Trip	3,839.00
8/08	Travel Agent	Familiarization Trip	350.00
8/16	Travel Agents	Familiarization Trip	3,141.00
8/16	Travel Agents	Familiarization Trip	2,475.00
8/23	Odile Devaux	Consumer Show	82.00
8/23	Travel Agent	Familiarization Trip	349.00
8/26	Travel Agent	Familiarization Trip	799.00
8/28	Saint Lucia Tourist Board	Administration	9,970.00
8/28	Saint Lucia Tourist Board	Consumer Promotion	36,771.65
8/30	Travel Agents	Familiarization Trip	1,542.00
9/03	Travel Agent	Familiarization Trip	349.00
9/04	Lorine St. Jules	Consumer Promotion	2,000.00
9/04	Travel Agents	Familiarization Trip	698.00
9/06	Marriott at Brooklyn Bridge	Consumer Promotion	584.69
9/10	Lorine St. Jules	Trade Show	1,695.00
9/10	Travel Agents	Familiarization Trip	698.00
9/20	Saint Lucia Tourist Board	Administration	12,965.00
9/23	American Airlines	Familiarization Trip	<u>2,972.40</u>

\$163,133.14

IV – FINANCIAL INFORMATION

15. (a) DISBURSEMENTS-MONIES

Date	To Whom	Purpose	Amount
March 01 –September 30,2013			
Marketing (Contd.)			
	J.J. Florist	Consumer/Trade Show	270.00
	Jacks	Consumer Promotion	53.93
	Marriott Hotel-Hartford	Consumer Show	182.85
	Marriott at Brooklyn Bridge	Consumer Promotion	7,172.69
	Big Wrappzz.com	Consumer Promotion	8,189.50
	Karma Consulting	Consumer Promotion	2,022.00
	Ali Abul-Karim	Consumer Promotion	2,005.00
	Lambert Daniel	Consumer Promotion	3,100.00
	Kow Transport, LLC	Consumer Promotion	3,200.00
	Melody One Sound	Consumer Promotion	10,500.00
	Studio Instrument Rental	Consumer Promotion	2,569.45
Traveling Expenses			
Odile Devaux			
May		Consumer Show, NY	300.00
May		Consumer Show, NY	60.00
June		Consumer Promotion, NY	240.00
June		Consumer Show, NY	120.00
June		Consumer Show, NY	200.00
July		Consumer Promotion, NY	160.00
August		Consumer Show, CT	360.00
September		Consumer Show, CT	208.48
September		Familiarization Trip-Saint Lucia	<u>970.00</u>
			<u>\$171,541.21</u>